

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

HARRINGTON GLOBAL OPPORTUNITY FUND,  
LIMITED

Plaintiff,

- against -

CIBC WORLD MARKETS CORP., CIBC WORLD  
MARKETS INC., BANK OF AMERICA SECURITIES,  
INC., MERRILL LYNCH CANADA INC., MERRILL  
LYNCH PROFESSIONAL CLEARING CORP., TD  
SECURITIES, INC., TD SECURITIES(USA) LLC,  
CORMARK SECURITIES, INC., UBS FINANCIAL  
SERVICES, INC., UBS SECURITIES CANADA, INC.,  
SOCIETE GENERALE CAPITALE CANADA, INC., SG  
AMERICAS SECURITIES, LLC, and JOHN DOES 1  
THROUGH 10.,

Defendants

No: 21-cv-761-LGS

SO ORDERED

  
HON. LORNA G. SCHOFIELD  
UNITED STATES DISTRICT JUDGE

Dated: April 23, 2021  
New York, New York

**JOINT STIPULATION AND [PROPOSED] ORDER FOR DISMISSAL OF  
CORMARK SECURITIES, INC. WITHOUT PREJUDICE**

WHEREAS Plaintiff Harrington Global Opportunity Fund, Limited (“Plaintiff”) filed a complaint (the “Complaint”) against, amongst others, Defendant Cormark Securities, Inc. (“Cormark”) in the above captioned matter;

WHEREAS Plaintiff and Cormark have agreed to dismiss without prejudice all claims against Cormark as set out in the Complaint;

NOW, THEREFORE, THE UNDERSIGNED STIPULATE AND  
AGREE AS FOLLOWS:

Pursuant to Rule 41(a)(2) of the Federal Rules of Civil Procedure, Plaintiff’s claims against Cormark are hereby dismissed without prejudice, each party to bear its own attorneys’ fees and costs.

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